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Express Mail Number: EL052829654US

Docket No. B-3807 617493-8

Date: March 14, 2001

Commissioner of Patents and Trademarks  
Box New Patent Application  
Washington, D.C. 20231

11046 U.S. POSTAL SERVICE  
09/808472  
03/14/01

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): (1) David M. Pepper (2) Gilmore J. Dunning

NOTE: Patent must be applied for in the name of all  
of the actual inventor or inventors.

For: "COHERENT DETECTING RECEIVER USING A TIME DELAY INTERFEROMETER  
AND ADAPTIVE BEAM COMBINER"

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):

11 Pages of specification 1 Page of abstract 4 Pages of claims

3 Sheets of drawings [ ] formal  informal  
(Figs. 1-6)

In addition to the above papers there is also attached

Postcard

Check for filing fee in the amount of \$710.00

Declaration/Power of Attorney (4 pages)

Assignment Cover Sheet (1 page), Assignment document (2 pages),  
and Check for \$40.00

CERTIFICATION UNDER 37 CFR 1.106

I hereby certify that this paper and the documents referred to as enclosed  
therein are being deposited with the United States Postal Service in an Express  
Mail envelope with sufficient postage for Express Mailing on this date  
March 14, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing  
Label Number EL052829654US addressed to the:

Commissioner of Patents and Trademarks  
Box New Patent Application  
Washington, D.C. 20231

Karyn Lao

(Typed or printed name of person mailing paper)



(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein  
has the number of the "Express Mail" mailing label  
placed thereon prior to mailing. 37 CFR 1.10(b).

2. Declaration or <sup>h</sup>

Enclosed

original

facsimile

executed by:

inventor(s)

legal representative of inventor(s) 37 CFR 1.42 or 1.43

joint inventor or person showing a proprietary interest  
on behalf of inventor who refused to sign or cannot be  
reached. 37 CFR 1.47.

petition and statement required by 37 CFR 1.47  
also attached. See item 7 below for fee.

Not Enclosed

Application is made by a person authorized under 37 CFR  
1.41(c) on behalf of all of the above named inventor(s). The  
declaration or oath, along with the surcharge required by 37  
CFR 1.16(e) can be filed subsequently.

Showing that the filing is authorized. (Not required  
unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international  
application under 35 U.S.C. 371(c)(4) then the declaration must  
be filed.

3. Assignment

An assignment of the invention to HRL Laboratories, LLC  
(with separate cover sheet and separate check for \$40.00)

4. Certified Copy

A certified copy of Application  
from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

CLAIMS Number Filed	AS FILED		Rate	Basic Fee \$ 710.00
	Number Extra			
Total Claims 13 -20=	0 x	\$ 18.00	0	
Independent Claims 3 -3=	0 x	\$ 80.00	0	
Multiple Dependent Claim(s), If Any	0 x	\$270.00	0	

Amendment canceling extra claims enclosed

Amendment deleting multiple dependencies enclosed

Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be  
paid or the claims canceled by amendment, prior to the expiration of the  
time period set for response by the Patent and Trademark Office in any  
notice of fee deficiency; 37 CFR 1.16(d).

Filing Fee Calculation \$ 710.00

**6. Small Entity Statement**

Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ \_\_\_\_\_

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

**7. Fee Payment Being Made At This Time**

Not Enclosed

No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

Enclosed

filing fee \$ 710.00

recording assignment \$ \_\_\_\_\_  
(\$40.00; 37 CFR 1.21(h)(i))

petition fee for filing by other than  
all the inventors or person on behalf  
of the inventor where inventor refused  
to sign or cannot be reached.  
37 CFR 1.47 and 1.17 (h) \$ \_\_\_\_\_

Total fees enclosed \$ 710.00

**8. Method of Payment of Fees**

check in the amount of \$ 710.00

charge account No. 12-0415 in the amount of \$ \_\_\_\_\_  
A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for  
which purpose the fees are paid. 37 CFR 1.22(b).

**9. Authorization to Charge Additional fees**

The Commissioner is hereby authorized to charge the following  
additional fees which may be required to Account No. 12-0415:

37 CFR 1.16 (filing fees and presentation of extra claims)

37 CFR 1.17 (application processing fees)

37 CFR 1.18 (issue fee at or before Mailing of Notice of  
Allowance, pursuant to 37 CFR 1.311(b))

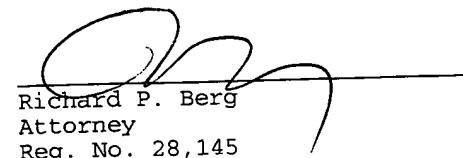
NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of  
entitlement to small entity status must be filed in the  
application...prior to paying... issue fee".

**10. Instructions As To Overpayment**

Credit Account No. 12-0415 [ ] refund

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